# Received by NSD/FARA Registration Unit 04/14/2017 5:43:16 PM

U.S. Department of Justice

Washington, DC 20530

#### OMB No. 1124-0006; Expires April 30, 2017

# Exhibit A to Registration Statement Pursuant to the Foreign Agents Registration Act of 1938, as amended

INSTRUCTIONS. Furnish this exhibit for EACH foreign principal listed in an initial statement and for EACH additional foreign principal acquired subsequently. The filing of this document requires the payment of a filing fee as set forth in Rule (d)(1), 28 C.F.R. § 5.5(d)(1). Compliance is accomplished by filing an electronic Exhibit A form at <a href="http://www.fara.gov">http://www.fara.gov</a>.

Privacy Act Statement. The filing of this document is required by the Foreign Agents Registration Act of 1938, as amended, 22 U.S.C. § 611 et seq., for the purposes of registration under the Act and public disclosure. Provision of the information requested is mandatory, and failure to provide this information is subject to the penalty and enforcement provisions established in Section 8 of the Act. Every registration statement, short form registration statement, supplemental statement, exhibit, amendment, copy of informational materials or other document or information filled with the Attorney General under this Act is a public record open to public examination, inspection and copying during the posted business hours of the Registration Unit in Washington, DC. Statements are also available online at the Registration Unit's webpage: <a href="http://www.fara.gov">http://www.fara.gov</a>. One copy of every such document, other than informational materials, is automatically provided to the Secretary of State pursuant to Section 6(b) of the Act, and copies of any and all documents are routinely made available to other agencies, departments and Congress pursuant to Section 6(c) of the Act. The Attorney General also transmits a semi-annual report to Congress on the administration of the Act which lists the names of all agents registered under the Act and the foreign principals they represent. This report is available to the public in print and online at: <a href="http://www.fara.gov">http://www.fara.gov</a>.

Public Reporting Burden. Public reporting burden for this collection of information is estimated to average .49 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Chief, Registration Unit, Counterespionage Section, National Security Division, U.S. Department of Justice, Washington, DC 20530; and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Washington, DC 20503.

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Name and Address of Registrant     Barnes & Thornburg LLP     11 South Meridian Street Indianapolis, IN 46204	2. Registration No.	
Name of Foreign Principal     Democratic Party of Albania	4. Principal Address of Foreign Principal Bulevardi Zhan'dark 11 1000, Tirana, Albania	
<ul> <li>5. Indicate whether your foreign principal is one of the foll</li> <li>Government of a foreign country 1</li> <li>Foreign political party</li> </ul>	lowing:	
Foreign or domestic organization: If either, chec	ck one of the following:	
☐ Partnership	Committee	
☐ Corporation	☐ Voluntary group	
☐ Association	Other (specify)	
☐ Individual-State nationality		_
		_
<ul> <li>6. If the foreign principal is a foreign government, state:</li> <li>a) Branch or agency represented by the registrant</li> </ul>	<b>:</b>	
b) Name and title of official with whom registran	it deals	
7. If the foreign principal is a foreign political party, state:		•
a) Principal address Bulevardi Zhan'dark 11 1000, Tirana, Albania		
b) Name and title of official with whom registrar	nt deals Luizim Basha, Party Leader	
c) Principal aim To advocate for support of fr	ree and fair parliamentary elections	

<sup>1 &</sup>quot;Government of a foreign country," as defined in Scotton 1(e) of the Act, includes any person or group of persons exercising sovereign de facto or de jure political jurisdiction over any country, other than the United States, or over any part of such country, and includes any subdivision of any such group and any group or agency to which such sovereign de facto or de jure authority or functions are directly or indirectly delegated. Such term shall include any faction or body of insurgents within a country assuming to exercise governmental authority whether such faction or body of insurgents has or has not been recognized by the United States.

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a) State the nature of the business or activity of this foreign principal.	
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b) Is this foreign principal:	
Supervised by a foreign government, foreign political party, or other foreign principal	Yes 🗌 No l
Owned by a foreign government, foreign political party, or other foreign principal	Yes 🖸 No
Directed by a foreign government, foreign political party, or other foreign principal	Yes 🗍 No
Controlled by a foreign government, foreign political party, or other foreign principal	Yes □ No
Financed by a foreign government, foreign political party, or other foreign principal	Yes 🗌 No
Subsidized in part by a foreign government, foreign political party, or other foreign principal	Yes 🗌 No
Explain fully all items answered "Yes" in Item 8(b). (If additional space is needed, a full insert page must	st be used.)
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EXECUTION  In accordance with 28 U.S.C. § 1746, the undersigned swears or affirms under penalty of perjury that he/	/she has read the
EXECUTION  In accordance with 28 U.S.C. § 1746, the undersigned swears or affirms under penalty of perjury that he/information set forth in this Exhibit A to the registration statement and that he/she is familiar with the contents.	/she has read the
EXECUTION  In accordance with 28 U.S.C. § 1746, the undersigned swears or affirms under penalty of perjury that he/	/she has read the
EXECUTION  In accordance with 28 U.S.C. § 1746, the undersigned swears or affirms under penalty of perjury that he/information set forth in this Exhibit A to the registration statement and that he/she is familiar with the continuous set.	/she has read the

# Received by NSD/FARA Registration Unit 04/14/2017 5:43:07 PM

U.S. Department of Justice Washington, DC 20530 OMB No. 1124-0004; Expires April 30, 2017

Exhibit B to Registration Statement

Pursuant to the Foreign Agents Registration Act of

1938, as amended

INSTRUCTIONS. A registrant must furnish as an Exhibit B copies of each written agreement and the terms and conditions of each oral agreement with his foreign principal, including all modifications of such agreements, or, where no contract exists, a full statement of all the circumstances by reason of which the registrant is acting as an agent of a foreign principal. Compliance is accomplished by filing an electronic Exhibit B form at <a href="http://www.fara.gov">http://www.fara.gov</a>.

Privacy Act Statement. The filing of this document is required for the Foreign Agents Registration Act of 1938, as amended, 22 U.S.C. § 611 et seq., for the purposes of registration under the Act and public disclosure. Provision of the information requested is mandatory, and failure to provide the information is subject to the penalty and enforcement provisions established in Section 8 of the Act. Every registration statement, short form registration statement, exhibit, amendment, copy of informational materials or other document or information filed with the Attorney General under this Act is a public record open to public examination, inspection and copying during the posted business hours of the Registration Unit in Washington, DC. Statements are also available online at the Registration Unit's webpage: <a href="http://www.fara.gov">http://www.fara.gov</a>. One copy of every such document, other than informational materials, is automatically provided to the Secretary of State pursuant to Section 6(b) of the Act, and copies of any and all documents are routinely made available to other agencies, departments and Congress pursuant to Section 6(c) of the Act. The Attorney General also transmits a semi-annual report to Congress on the administration of the Act which lists the names of all agents registered under the Act and the foreign principals they represent. This report is available to the public in print and online at: <a href="http://www.fara.gov">http://www.fara.gov</a>.

Public Reporting Burden. Public reporting burden for this collection of information is estimated to average .33 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Chief, Registration Unit, Counterespionage Section, National Security Division, U.S. Department of Justice, Washington, DC 20530; and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Washington, DC 20503.

	ame of Registrant mes & Thornburg LLP	2. Registration No.
3. N	ame of Foreign Principal	
De	mocratic Party of Albania	
<del>-</del>	Check App	propriate Box:
4. 🛭	The agreement between the registrant and the above-name checked, attach a copy of the contract to this exhibit.	ed foreign principal is a formal written contract. If this box is
5. 🗆	foreign principal has resulted from an exchange of corresp	and the foreign principal. The agreement with the above-named pondence. If this box is checked, attach a copy of all pertinent which has been adopted by reference in such correspondence.
6. 🗆	contract nor an exchange of correspondence between the	nd the foreign principal is the result of neither a formal written parties. If this box is checked, give a complete description below of anding, its duration, the fees and expenses, if any, to be received.
7. De	escribe fully the nature and method of performance of the ab	pove indicated agreement or understanding.
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Attached engagement letter sets forth terms and conditions of the firm's representation of this foreign principal.

8. Describe fully the	activities the regist	trant engages in or propo	ses to engage in or	behalf of the abov	e foreign principal.	
and the United S support for free	tates Government, and fair parliament	etings and communicati as well as advocating fo ary elections. This may ions on behalf of the for	r the Democratic nclude attending	Party of Albania's	stated goal of gaining	g
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# BARNES & THORNBURGLE

1717 Pennsylvania Avenue NW Suite 500 Washington, DC 20006-4623 202-289-1313 202-289-1330 (Pax)

www.btlaw.com

Craig S. Burkhardt

(202)408-6903 Craig Burkhardt@BTLaw.com

April 10, 2017

## **YIA E-MAIL**

Mr. Lulzim Basha, Leader Democratic Party of Albania Bulevardi Zhan'dark 11 1000, Tirana, Albania

RE: U.S. Federal Governmental Relations Engagement

Dear Leader Basha:

Barnes & Thornburg LLP ("Firm") would be very pleased to serve as U.S. federal government relations counsel to the Democratic Party of Albania ("DPA"). The DPA is pursuing this activity on behalf of the Unified Opposition of Albania, which is seeking free and fair elections during the upcoming parliamentary elections. It is important from the outset of this relationship that we have a clear understanding as to the identity of the Firm's client in this Engagement. The Firm's only client in this engagement is the DPA. This representation does not extend to any other governmental entity, DPA Party official, or other persons, individuals or entities who are not specifically identified in this letter.

#### SCOPE OF SERVICES

The Firm is being engaged to assist DPA with lobbying and governmental relations to encourage the United States to support that upcoming parliamentary elections in Albania are conducted in a free and fair manner. This will include development of a DPA Policy Group within the Firm to be chaired by Craig Burkhardt; assisting DPA with developing a close working relationship with senior members of the Executive and Legislative branches of the United States Government; assisting with establishing and maintaining positive relationships between DPA and various offices and officials of the United States Government; and arranging communications and assisting with communication strategies between DPA officials and officials of the United States government. The Firm has only been engaged to assist DPA with government relations and lobbying work as indicated in this engagement letter. In the event our engagement is expanded to cover other legal services or if the scope of our government relations work is modified or expanded, those changes to our engagement must be in writing.

Although I will be the lawyer responsible for this Matter, from time to time other lawyers, legal assistants and legislative assistants at the Firm may assist me, depending upon their expertise and experience, and with the approval of DPA. At the present time, the primary

Atlanta Chicago Dallas Delavzera Indiana Los Angeles Michigan Minneapolis Ohio Washington, D.C.

DPA April 10, 2017 Page 2 of 4

lawyers for DPA will be Eddie Ayoob, Craig Burkhardt and David Spooner. Legislative Assistant Robert Ganim will also provide support to our DPA Policy Group.

Since the outcome of any lobbying, regulatory or administrative matter is subject to uncertainties and risks, the Firm has made no promises or guarantees to DPA concerning the outcome of any project or opportunity with federal government entities, nor can we do so. Nothing in this letter shall be construed as such a promise or guarantee.

The performance of services, as listed above, may give rise to a requirement that the Firm or a legal professional of the Firm register as a lobbyist or register as a foreign agent. The Firm will advise DPA of applicable lobbying laws and the requirements imposed under the Foreign Agent Registration Act, and take reasonable care to assure proper compliance. As DPA would expect from lawyers, the Firm has an obligation not to reveal confidential information relating to the representation of a client, including information protected by the attorney-client privilege, unless the client gives informed consent. These restrictions apply to lawyers who are providing lobbying and government relations advice to clients. However, DPA acknowledges and provides such consent to disclose otherwise confidential information, to the extent necessary, to complete and comply with registration, disclosure, and reporting requirements imposed by lobbying laws and the Foreign Agent Registration Act.

This engagement is governed by the laws of the United States and the District of Columbia.

#### **FEES**

Barnes & Thornburg LLP will perform the services described above for a fixed fee of \$150,000 (USD) for a period of three (3) months, starting April 12, 2017 and ending July 12, 2017. This fixed fee amount is inclusive of all reasonable and customary expenses, including travel, postage, messenger/courier, document reproduction, and wire/ACH service fees. The \$150,000 (USD) fee may be paid in \$50,000 installments, each of which must be received in the Firm's US bank account prior to the start of services for the upcoming month. The \$50,000 installment for April 12 – May 11 must be received before we will start services. The \$50,000 fee for May 12 – June 11 must be received on or before May 11. The \$50,000 installment for June 12 – July 11 must be received on or before June 11. If we do not receive an installment payment by the due date, the Firm will pause in its services until the fee installment is received. The Firm's treatment of the payments received is governed by the standards established by the District of Columbia's Rules of Professional Conduct. DPA consents to the Firm treating payments received as property of the Firm. However, should this Engagement be terminated prior to the end of the three months, the Firm will return to DPA any uncarned portion of the fixed fee on a pro-rata basis.

#### OTHER REPRESENTATIONS

Before the Firm agrees to this representation, it is appropriate to spell out the expectations or standards that will govern conflicts of interest that arise in the course of this Engagement. The Firm has more than 600 legal professionals throughout 13 offices in

### BARNES & THORNBURGILLP

DPA April 10, 2017 Page 3 of 4

Indianapolis, Fort Wayne, South Bend, and Elkhart, Indiana; Atlanta, Georgia; Columbus, Ohio; Chicago, Illinois; Dallas, Texas; Grand Rapids, Michigan; Los Angeles, California; Minneapolis, Minnesota; Wilmington, Delaware; and Washington, D.C., representing thousands of clients in various states, so it is foreseeable that representation of other Firm clients may be or become adverse to DPA's interests from time to time.

With respect to our Firm's government relations practice, DPA is aware that the Firm represents the interests of other persons and entities before various legislative and executive bodies, and administrative agencies at the local, state, and federal level. In addition, the Firm represents a broad spectrum of clients in many different industries in connection with a wide variety of matters. In addition, because of the Firm's size, and as DPA might expect, the Firm has lawyers and non-lawyer lobbyists with many different views and philosophies. Some of the Firm's lawyers and non-lawyer lobbyists are from time to time involved with the political process for various candidates and causes, sometimes interests which in some cases, might be adverse to the interests DPA seeks to promote through its engagement of the Firm. We do not believe that our representation of these other clients with points of view that differ from DPA's will affect the Firm's ability to provide competent and diligent representation to any of our Firm's clients. Notwithstanding the above, our Firm will not represent other parties in a lobbying matter directly adverse to DPA. For example, we would not and could not concurrently advocate contrary positions for two clients before the same body or agency on the same issue. However, from time to time it is possible that we may take positions on behalf of other clients that may indirectly impact DPA's interests in matters in which we do not represent DPA. Thus, as a condition of the Firm's undertaking this engagement, DPA must agree to waive all legal, business, and political conflicts which exist or may arise as a result of the Firm's representation of DPA and any other person or entity.

#### TERMINATION OF SERVICES

Our engagement will terminate on July 12, 2017, unless the Firm and DPA should desire to extend the engagement in writing. DPA may terminate this engagement earlier by providing the Firm with a thirty (30) day notice. The Firm may terminate this engagement for nonpayment of our fees and where we are required or permitted to do so by the Rules of Professional Conduct after giving DPA reasonable notice and allowing time for DPA to engage successor counsel, if necessary.

Upon termination of this Agreement by either party, the Firm will be entitled payment of all outstanding unpaid invoices and to payment for services pro-rated through the date of termination.

BARNES & THORNBURGIE

DPA April 10, 2017 Page 4 of 4

## **CONCLUSION**

If this letter accurately sets forth the engagement and DPA's understanding, please so indicate in the space provided below and return a signed copy of this letter to me. We ask DPA to acknowledge that, in reviewing and executing this letter, DPA has not relied on any advice provided by the Firm, but instead has acted solely in reliance upon the advice of other counsel.

I look forward to working with you on this matter. If you have any questions, please call me.

Sincerely,

Craig S. Burkhardt

AGREED AND ACKNOWLEDGED FOR THE DEMOCRATIC PARTY OF ALBANIA:

By: Anlim K

Printed:

Title:

1106: 11949-00

Date:

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BARNES & THORNBURG